



**Syntropy Wealth Management LLC**

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## **Privacy Policy**

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## Introduction

Syntropy Wealth Management (“Syntropy”) is an investment advisory firm registered with the state of Texas that provides investment advisory services and operates the website, [www.syntropywealth.com](http://www.syntropywealth.com), which describes our services.

This document is intended to inform prospective clients and website visitors of our policies concerning the collection, use, sharing, and disclosure of Personal Information of anyone using our services.

By using our services, you accept and agree to the collection and use of information by the terms of this policy. The Personal Information that the firm collects are used to assist Syntropy in providing investment advisory services. The firm will not use or share your information with anyone except as described in this Privacy Policy.

Syntropy deploys appropriate computer safeguards and secures all files and buildings to protect your personal information from unauthorized access and use.

## Information Collection & Use

Syntropy may require clients to provide the firm with certain personally identifiable information including, but not limited to, name, Social Security number, date of birth, phone number, and postal address. This information will be used to contact or identify you.

For business purposes, such as to process your transactions, maintain your account(s), or respond to court orders and legal investigations, the firm may collect and share Social Security numbers, Tax ID’s, income, account balances, and credit information. This information may be shared with trusted companies that Syntropy has engaged for services (such as financial planning and customer relationship management) and otherwise, but will not be sold or shared, unless company is legally required, such as in legal proceedings or court inquiry.

The federal government and some states allow you to limit this collection and sharing. Such limits may prevent our providing necessary services. For more information, or to limit information sharing, contact us at the phone number or email address listed below for more information.

## Log Data

Information that browsers send, known as Log Data, may be collected at [www.syntropywealth.com](http://www.syntropywealth.com) from visitors or clients. This Log Data may include information such as your computer’s Internet Protocol (IP) address, browser version, pages of our Service that you visit, the time and date of your visit, the time spent on those pages, and other statistics.

## Cookies

Cookies are files with small amount of data that are commonly used as a unique, anonymous identifier. These are sent to your browser from the website that you visit and are stored on your computer’s hard drive. Syntropy’s website uses “cookies” to collect information and to improve our Service. You have the option to either accept or refuse these cookies and know when a cookie is being sent to your computer. If you choose to refuse these cookies, you may not be able to use some portions of the firm’s website services.

## Service Providers

Syntropy engages with several third-party service providers (“TSP”) so that it can provide comprehensive wealth management services to its clients. Most TSPs do not require, nor have access to, client information and are used exclusively for Syntropy’s daily business operations, such as research, news, education and marketing. Client information must be shared with other TSPs, such as financial planning software, in order to receive the benefit of their service.

Syntropy utilizes Charles Schwab & Co., Inc. to custody client assets. Clients can access their accounts at [www.schwaballiance.com](http://www.schwaballiance.com) where they can access account information, along with other available tools and services offered by Schwab. Clients can call Schwab at 800-515-2157 to inquire about its policies and can view their Privacy Policy at: [https://www.schwab.com/public/schwab/nn/legal\\_compliance/privacy](https://www.schwab.com/public/schwab/nn/legal_compliance/privacy)

Syntropy uses RightCapital Inc. for its financial planning software and utilizes, and makes available to clients, its secure document data storage tool, known as the “Vault”. Right Capital is a subscription-based service, all fees and costs are born by Syntropy, there is no cost for clients to access their website. RightCapital’s Privacy Policy can be found at: <https://www.rightcapital.com/legal/privacy>

Syntropy may employ other third-party companies and individuals for to the following reasons:

- To facilitate our investment advisory services;
- To provide the investment advisory services on our behalf;
- To perform operational-related services or to assist us in analyzing how our investment advisory services are used.

These third parties will have access to your Personal Information to perform the tasks assigned to them on our behalf. They are not allowed to disclose or use the information for any other purpose.

## Links to Other Sites

Our website may contain links to other sites that are not operated by us. If you click on a third-party link, you will be directed to that site. Syntropy strongly advises you to review the Privacy Policy of these websites, as we have no control over and assume no responsibility for the content, privacy policies, or practices of any third-party sites or services.

## Changes to this Privacy Policy

Syntropy may update our Privacy Policy at any time, and we advise you to review the website periodically for any changes. The firm will notify you of any changes by posting the new Privacy Policy on the website. All changes are effective immediately after they are posted on the web page.

## Contact Us

If you have any questions about our Privacy Policy, please feel free to contact us at by phone at 512-740-7333 or by email at [info@syntropywealth.com](mailto:info@syntropywealth.com).